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For: Method and Apparatus for Time-Division Power Assignments in a Wireless Communication System

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## **METHOD AND APPARATUS FOR TIME-DIVISION POWER ASSIGNMENTS IN A WIRELESS COMMUNICATION SYSTEM**

### **REFERENCE TO RELATED CO-PENDING APPLICATION**

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The present Application for Patent is related to co-pending Application for Patent entitled "METHOD AND APPARATUS FOR BEAM SWITCHING IN A WIRELESS COMMUNICATION SYSTEM" by Ahmad Jalali et al., having Attorney Docket Number PA000331, filed June 29, 2000, and assigned to the  
10 assignee hereof.

### **FIELD**

The current invention relates to wireless data communication. More  
15 particularly, the present invention relates to a novel and improved method and apparatus time-division power assignments in a wireless communication system.

### **BACKGROUND**

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A modern day communication system is required to support a variety of applications. One such communication system is a code division multiple access (CDMA) system which conforms to the "TIA/EIA/IS-95 Mobile Station-Base Station Compatibility Standard for Dual-Mode Wideband Spread  
25 Spectrum Cellular System," hereinafter referred to as the IS-95 standard. The CDMA system allows for voice and data communications between users over a terrestrial link. The use of CDMA techniques in a multiple access communication system is disclosed in U.S. Patent No. 4,901,307, entitled "SPREAD SPECTRUM MULTIPLE ACCESS COMMUNICATION SYSTEM  
30 USING SATELLITE OR TERRESTRIAL REPEATERS", and U.S. Patent No. 5,103,459, entitled "SYSTEM AND METHOD FOR GENERATING WAVEFORMS IN A CDMA CELLULAR TELEPHONE SYSTEM," both

assigned to the assignee of the present invention and both expressly incorporated by reference herein.

In this specification, base station refers to the hardware with which the subscriber stations communicate. Cell refers to the hardware or the geographic coverage area, depending on the context in which the term is used. A sector is a partition of a cell. A sub-sector is a division of a sector. Because a sector and a sub-sector of a CDMA system have the attributes of a cell, the teachings described in terms of cells are readily extended to sectors and sub-sectors.

In a CDMA system, communications between users are conducted through one or more base stations. A first user on one subscriber station communicates to a second user on a second subscriber station by transmitting data on a reverse link to a base station. The base station receives the data and can route the data to another base station. The data is transmitted on the forward link of the same base station, or a second base station, to the second subscriber station. The forward link refers to transmission from the base station to a subscriber station and the reverse link refers to transmission from the subscriber station to a base station. In IS-95 systems, the forward link and the reverse link are allocated separate frequencies.

The subscriber station communicates with at least one base station during a communication. CDMA subscriber stations are capable of communicating with multiple base stations simultaneously during soft handoff. Soft handoff is the process of establishing a link with a new base station before breaking the link with the previous base station. Soft handoff minimizes the probability of dropped calls. A method and system for providing a communication with a subscriber station through more than one base station during the soft handoff process are disclosed in U.S. Patent No. 5,267,261, entitled "MOBILE ASSISTED SOFT HANDOFF IN A CDMA CELLULAR TELEPHONE SYSTEM," assigned to the assignee of the present invention and expressly incorporated by reference herein. Softer handoff is the process whereby the communication occurs over multiple sectors which are serviced by the same base station. The process of softer handoff is described in detail in U.S. Patent No. 5,625,876, entitled "METHOD AND APPARATUS FOR

PERFORMING HANDOFF BETWEEN SECTORS OF A COMMON BASE STATION," assigned to the assignee of the present invention and expressly incorporated by reference herein.

Given the growing demand for wireless data applications, the need for very efficient wireless data communication systems has become increasingly significant. The IS-95 standard is capable of transmitting data traffic and voice traffic over the forward and reverse links. A method for transmitting data traffic in code channel frames of fixed size is described in detail in U.S. Patent No. 5,504,773, entitled "METHOD AND APPARATUS FOR THE FORMATTING OF DATA FOR TRANSMISSION," assigned to the assignee of the present invention and expressly incorporated by reference herein. In accordance with the IS-95 standard, the data traffic or voice traffic is partitioned into code channel frames which are 20 msec wide with data rates as high as 14.4 kbps.

Transmission of high-rate data traffic and voice traffic over the forward and reverse links is proposed in a high-data-rate standard. In accordance with the proposed high-data-rate standard, the data traffic or voice traffic is partitioned into time slots of variable duration. A code channel frame comprises one to sixteen time slots. Beamforming techniques for decreasing interference caused by transmissions of a base station to subscriber stations in neighboring cells are described in detail in U.S. Patent Application Serial No. 09/388,267, filed September 1, 1999, entitled "METHOD AND APPARATUS FOR BEAMFORMING IN A WIRELESS SYSTEM," assigned to the assignee of the present invention and expressly incorporated by reference herein.

A significant difference between voice services and data services is the fact that voice services impose stringent and fixed delay requirements. Typically, the overall one-way delay of speech frames must be less than 100 msec. In contrast, the data delay can become a variable parameter used to optimize the efficiency of the data communication system. Specifically, more efficient error correcting coding techniques which require significantly larger delays than those that can be tolerated by voice services can be utilized. An exemplary efficient coding scheme for data is disclosed in U.S. Patent

Application Serial No. 08/743,688, entitled "SOFT DECISION OUTPUT DECODER FOR DECODING CONVOLUTIONALLY ENCODED CODEWORDS," filed November 6, 1996, assigned to the assignee of the present invention, and expressly incorporated by reference herein.

5 Another significant difference between voice services and data services is that voice services require a fixed and common grade of service (GOS) for all users. Typically, for digital systems providing voice services, this translates into a fixed and equal transmission rate for all users and a maximum tolerable value for the error rates of the speech frames. In contrast, for data services, the  
10 GOS can be different from user to user and can be a parameter optimized to increase the overall efficiency of the data communication system. The GOS of a data communication system is typically defined as the total delay incurred in the transfer of a predetermined amount of data, hereinafter referred to as a data packet.

15 Yet another significant difference between voice services and data services is that voice services require a reliable communication link which, in the exemplary CDMA communication system, is provided by soft handoff. Soft handoff results in redundant transmissions from two or more base stations to improve reliability. However, this additional reliability is not required for data  
20 transmission because the data packets received in error can be retransmitted. For data services, the transmit power used to support soft handoff can be more efficiently used for transmitting additional data.

The parameters that measure the quality and effectiveness of a data communication system are the transmission delay required to transfer a data  
25 packet and the average throughput rate of the system. Transmission delay does not have the same impact in data communication as it does for voice communication, but it is an important metric for measuring the quality of the data communication system. The average throughput rate is a measure of the efficiency of the data transmission capability of the communication system.

30 It is well known that in cellular systems the carrier-to-interference ratio C/I of any given user is a function of the location of the user within the coverage area. In order to maintain a given level of service, TDMA and FDMA

systems resort to frequency reuse techniques, i.e., not all frequency channels and/or time slots are used in each base station. In a CDMA system, the same frequency allocation is reused in every cell of the system, thereby improving the overall efficiency. The C/I that any given user's subscriber station achieves  
5 determines the information rate that can be supported for this particular link from the base station to the user's subscriber station. Given the specific modulation and error correction method used for the transmission, a given level of performance is achieved at a corresponding level of C/I. For an idealized cellular system with hexagonal cell layouts and utilizing a common  
10 frequency in every cell, the distribution of C/I achieved within the idealized cells can be calculated. An exemplary system for transmitting high-rate digital data in a wireless communication system is disclosed in copending U.S. Patent Application Serial No. 08/963,386, entitled "METHOD AND APPARATUS FOR HIGHER RATE PACKET DATA TRANSMISSION," (hereafter the '386  
15 application), filed November 3, 1997, assigned to the assignee of the present application, and expressly incorporated by reference herein.

It is also well known that much of the signal interference in a loaded CDMA system is caused by transmitters belonging to the same CDMA system. In an effort to increase capacity and data rates by reducing interference, cells are  
20 often divided into sectors or smaller cells operating at lower power. However, conventional methods are costly and difficult to apply in areas having widely varying signal propagation properties. Conventional methods also typically have poor signal quality near sector boundaries. There is a need for a simplified way of decreasing the mutual interference between elements in the  
25 system, while increasing system capacity and data rates.

## SUMMARY

The disclosed embodiments provide a novel and improved method of increasing CDMA data communication system capacity and data rates by maximizing carrier-to-interference ratios (C/I) measured at subscriber stations. Utilization of beam switching techniques decreases the interference caused by transmissions of a base station to subscriber stations within sectors of a cell, and its neighboring cells. Base stations utilize multiple transmit antennas, each transmitting signals at controlled amplitudes and phases, to form transmit signal beams corresponding to sector divisions, or sub-sectors. Data and reference signals are transmitted along sector division beams that alternate according to fixed time slots in order to focus energy at subscriber stations without interference from neighboring beams.

Accordingly, in one aspect of the invention, a method is provided for adjusting the signal power for transmitting data to each sector during a given time slot, where the power level for a given sector rotates through the available power levels with successive time slots.

According to one aspect of the present invention, a method for communication in a wireless communication system, having a first cell with multiple sectors, includes transmitting signals at a first power level to the first sector during a first time slot, and transmitting a signals at a second power level to the second sector during the first time slot.

According to another aspect of the present invention, a mobile unit includes an antenna, and an equalizer coupled to the antenna. The equalizer further includes a plurality of taps or amplifiers, a summing node and a memory storage unit. Each amplifier has first and second associated coefficients, the first associated coefficient corresponding to a first time slot, the second associated coefficient corresponding to a second time slot, the plurality of amplifiers being operative to scale a first set of input symbols during the first time slot by the first associated coefficients, the plurality of amplifiers being further operative to scale a second set of input symbols during the second time slot by the second associated coefficients, the plurality of amplifiers being

further operative to generate amplifier outputs. The summing node is coupled to the plurality of amplifiers, the summing node being operative to sum the amplifier outputs. The memory storage unit is operative to store coefficient adjustment information, wherein the associated coefficients are adjusted according to the coefficient adjustment information.

According to yet another aspect of the present invention, an equalizer includes a plurality of amplifiers and a coefficient adjustment node. The amplifiers are operative to scale input symbols with a first set of associated coefficients during a first time slot and with a second set of associated coefficients during a second time slot. The coefficient adjustment node is coupled to the plurality of amplifiers, the coefficient adjustment node being operative to apply the first set of associated coefficients during the first time slot and to apply the second set of associated coefficients during the second time slot.

According to still another aspect of the present invention, a mobile unit includes an equalizer operative to calculate a first capacity estimate for a first transmitted signal power and a second capacity estimate for a second transmitted signal power, and a data rate decision node operative to receive capacity estimates from the equalizer and generate a first data rate decision for the first capacity estimate and a second data rate decision for the second capacity estimate.

In still a further aspect of the present invention, a mobile unit for high-speed data communication to at least one base station includes an encoder configured to receive data packets at a first signal power during a first time slot and to receive data packets at a second signal power during a second time slot, and a data rate control encoder configured to encode data rate control messages generated in response to channel condition information derived from the received data packets, for transmission during the first and second time slots.



## BRIEF DESCRIPTION OF THE DRAWINGS

The features, objects, and advantages of the presently disclosed method and apparatus will become more apparent from the detailed description set forth below when taken in conjunction with the drawings in which like reference characters identify correspondingly throughout and wherein:

FIG. 1 is a diagram of terrestrial base stations configured in accordance with one embodiment;

FIG. 2 illustrates a subdivided sector in accordance with one embodiment;

FIG. 3 illustrates sector division beams alternating according to fixed time slots;

FIG. 4 is a flowchart diagram of a method for increasing system capacity and data rates by maximizing carrier-to-interference ratios (C/I) measured at subscriber stations;

FIG. 5 is a block diagram of a base station apparatus configured in accordance with one embodiment;

FIG. 6 is a diagram of a portion of a CDMA reverse link subscriber station apparatus configured in accordance with one embodiment;

FIG. 7 is a diagram of a cell within a CDMA communication system illustrating the time slot division for three (3) sectors within the cell in accordance with one embodiment; and

FIG. 8 is a schematic diagram of an equalizer circuit within a receiver of a CDMA communication system as in FIG. 7.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The disclosed embodiments improve the efficiency of a CDMA system by providing a strong forward link signal to a destination subscriber station while causing minimal interference to other subscriber stations. The disclosed embodiments provide an approach to maximizing capacity in a high-data-rate wireless system by adapting fixed beam switching techniques for use in

terrestrial wireless applications. In accordance with the disclosed embodiments, a cellular system with multiple transmit antennas at each base station is described. From each base station, the same signal, but each having different relative phase shifts and power levels, is transmitted from each antenna in order to focus energy in a sub-sector, the portion of a sector where a subscriber station is located. In order to maximize the carrier-to-interference ratio (C/I) of the intended receiver of the signal (usually a single subscriber station) the amplitudes and phases of the signals being transmitted from each of the transmit antennas must be set appropriately.

Any signal quality metric that is based on the estimated C/I may be used by the subscriber station as feedback to the base station. In the exemplary high-data-rate wireless communication system described in the '386 application, the subscriber station determines a data rate at which it can successfully receive packets based on its estimated C/I. The data rate, instead of the C/I measurement, is sent to the base station in the form of a Data Rate Control (DRC) signal. The DRC information is embedded in the reverse link signal sent by the subscriber station. The base station may also use the changes in the DRC signal to determine the time slot in which to transmit data to the subscriber station.

Conventionally, a single beam is transmitted in a sector which covers the entire sector regardless of the receiver's location within the sector. A single beam wastes energy where the subscriber station cannot receive it, and interferes with other users. The disclosed embodiments maximize the carrier-to-interference ratio (C/I) at the subscriber station by dividing sectors into sub-sectors and transmitting fixed beams directly to each sub-sector where subscriber stations are located, alternating between non-adjacent sub-sectors to reduce interference.

FIG. 1 shows a diagram of terrestrial base stations configured in a three-sector cell. Cell 100 comprises three sectors 102, 104, 106. In the illustrated embodiment, a base station 108 is located in each sector of the cell 100. In other embodiments, a single base station may serve two or more sectors of a cell. The base stations 108 transmit fixed beams through four transmit antennas 110.

Though each base station 108 is shown with four transmit antennas 110, the disclosed embodiment is applicable to a base station having one or more transmit antennas, including one that utilizes a directional antenna array. In addition, one skilled in the art will appreciate that antennas of a variety of type and polarization may be used, including e.g. omni-directional and directional antennas. In addition, one antenna used for transmission from a base station may be of a different type than other antennas used by the same base station.

The antenna elements 110 form a phased array for each sector 102, 104, 106, configured to create a number of fixed beams per sector 102, 104, 106. In the particular embodiment shown, there are four fixed beams per sector 102, 104, 106. The signals transmitted through the plurality of antennas 110 of a single base station 108 are advantageously identical, except for differences in transmit amplitude and phase. When transmitting a signal, the base station 108 adjusts the amplitude and phase of the signals transmitted through the antennas 110 to form fixed signal beams directed at fixed divisions, or sub-sectors, of the sector 102, 104, 106 the base station 108 serves.

In general, a base station 108 using beam switching to transmit to sub-sector divisions causes less interference to subscriber stations in neighboring sub-sectors than a base station 108 transmitting through a single antenna to the entire sector 102, 104, 106.

FIG. 2 illustrates an exemplary cell 200 comprising three sectors 202, 204, 206. A sector 204 divided into four sub-sectors 0 207, 1 209, 2 211, and 3 213 is shown. Each sub-sector 207, 209, 211, 213 is covered by a fixed transmission beam 208, 210, 212, 214 (respectively) generated by the antenna elements of the base station (not shown) serving the sector 204. Advantageously, alternating sub-sectors 207, 209, 211, 213 are designated as even or odd. Sub-sectors 0 207 and 2 211 are designated as even sub-sectors, while sub-sectors 1 209 and 3 213 are designated as odd sub-sectors. Advantageously, data transmission slots are also identified by even and odd numbers. The sub-sector transmission beams 208, 210, 212, 214 remain fixed regardless of the location of subscriber station 216 within the sector 204. The subscriber station 216 selects the best beam 208, 210, 212, 214 by measuring the C/I of available pilots. In the disclosed

embodiment, transmissions are CDMA signals, wherein the transmissions of base stations serving other subscriber stations and cell areas often cause the majority of the interference experienced by a receiving subscriber station 216. In the disclosed embodiment, each subscriber station 216 performs estimates of C/I, or carrier-to-interference ratio. The resulting C/I measurement information is converted to a data rate control (DRC) signal. The DRC, instead of the C/I measurement, is then transmitted from each subscriber station 216 to its serving base station. The base station transmits at a fixed power level, but varies the data rate used to transmit to each subscriber station 216 according to the DRC information received from that subscriber station 216. Subscriber station 216 performs measurements of C/I in order to send its base station DRC information used to choose a data rate for transmissions on the forward link. If the amount of interference to subscriber station 216 is great, then the base station transmits to subscriber station 216 at a low data rate. Conversely, if the interference to subscriber station 216 is small, then the base station transmits to subscriber station 216 at a high data rate.

Interference to subscriber stations 216 in conventional cellular communications systems is greater at cell and sector boundaries where beams overlap. Conventionally, a subscriber station 216 at a sub-sector boundary will communicate with either sub-sector transmission beam, but neighboring beams will interfere with each other causing the C/I to be low. The disclosed embodiments eliminate interference near sub-sector boundaries using a simple method of alternating transmissions on even 208, 212 and odd 210, 214 numbered sub-sector beams during odd and even transmission time slots. During even time slots, only even beams 208, 212 are transmitted. Amplitude and phase coefficients of odd signal beams 210, 214 are set to 0 during even time slots. During odd transmission time slots, only odd beams 210, 214 are transmitted. Amplitude and phase coefficients of even signal beams 208, 212 are set to 0 during odd transmission time slots. In a given time slot, two of four sets of beams are active. Eliminating interference (I) boosts C/I, increasing system capacity and data rates. The alternating beam switching method of the disclosed embodiment, with six sub-sectors of a twelve sub-sector cell

transmitting during a given time slot, performs better than a conventional six sector cell configuration with boundary interference. The alternating beam switching method of the disclosed embodiment provides subscriber stations 216 located near boundaries with good receive signal quality, enabling the  
5 subscriber stations 216 to request higher data rates.

One skilled in the art would understand that a cell could be arbitrarily divided into sectors, or any permutation of sectors and sub-sectors, without departing from the scope of the present invention

FIG. 3 A, B, and C are timing diagrams illustrating fixed sub-sector  
10 division beams alternating according to fixed transmission time slots. FIG. 3A shows an instance of activated even time slots, and deactivated odd time slots, at a given time. FIG. 3B shows base station transmission occurring for even numbered beams 0 and 2 during the given activated even transmission time slot. FIG. 3C shows base station transmission not occurring for odd numbered  
15 beams 1 and 3 during the given activated even transmission time slot.

The disclosed embodiments advantageously identify even and odd transmission time slots, and corresponding even and odd sub-sector transmission beams. Even numbered signal beams are transmitted only during even numbered transmission time slots. Odd numbered signal beams are  
20 transmitted only during odd numbered transmission time slots. During any given time slot, half the sub-sector transmission beams will be activated while half the sub-sector transmission beams will be deactivated. Interference from neighboring transmission beams is advantageously eliminated with the alternate beam switching method of the disclosed embodiments.

Base stations maintain knowledge of subscriber stations located in their sub-sector coverage areas using DRC messages received from subscriber stations. The same signal is transmitted to all the sub-sectors of a sector regardless of the location of the subscriber station. The need for complicated tracking methods and smart antenna schemes for improving C/I is eliminated  
30 by the simplicity of the disclosed embodiments.

FIG. 4 illustrates method steps in accordance with one embodiment. As previously discussed, system capacity and data rates are increased by

maximizing  $C/I$  measured at subscriber stations.  $C/I$  is maximized using a method of beam switching.

In step 402, odd numbered sub-sector beams are transmitted during odd time slots from the base station on the forward link. Subscriber stations (not shown) receiving data during odd numbered transmission slots receive a data packet, and generate a DRC based on the  $C/I$  of the data received. In each transmission time slot there is a pilot signal, or a burst of known signal, being transmitted on the forward link. The subscriber station uses the pilot signal to predict the  $C/I$  of the data that it will receive in the next time slot. Based on the estimated  $C/I$ , the subscriber station determines the data rate it can support. For a given  $C/I$  there is a maximum data rate that the subscriber station can support. The subscriber station uses the pilot signal transmitted in each activated time slot to predict the  $C/I$  in the following activated slots, using a look-up table to find the maximum data rate.

In step 404, subscriber stations receiving data during even numbered transmission slots send a DRC to their base stations on the reverse link during the reverse link odd numbered time slots. Every odd time slot, subscriber stations receiving data during even numbered transmission slots send a DRC message to their base stations indicating the data rate at which they can receive. The base stations then transmit to the subscriber stations at the indicated rate during the next even numbered time slot.

In step 406, even numbered sub-sector beams are transmitted during even numbered time slots from the base station on the forward link. Subscriber stations receiving data during even numbered transmission slots receive a data packet, and generate a DRC based on the  $C/I$  of the data received.

In step 408, subscriber stations receiving data during odd numbered transmission time slots send a DRC to their base stations on the reverse link during the reverse link even numbered time slots. Every even numbered time slot, subscriber stations receiving data during odd numbered transmission slots sends a DRC message to their base stations indicating the data rate at which it can receive. The base station transmits to the subscriber station at the indicated rate during the next odd numbered time slot.

FIG. 5 shows a block diagram of an exemplary embodiment of a CDMA base station used to transmit alternating sub-sector beam signals to one or more subscriber stations through multiple transmit antennas. In proposed Third Generation CDMA systems, the signals are modulated using a Quaternary Phase Shift Keyed (QPSK) modulation. In proposed high-data-rate systems, the signals are modulated using Eight Phase Shift Keyed (8PSK) and Sixteen Quadrature Amplitude Modulation (16QAM) in addition to QPSK modulation. In order to balance the load on the in-phase (I) and quadrature phase (Q) components of the QPSK signals, a method of complex PN spreading is employed. Complex PN spreading techniques are described in U.S. Patent Application No. 08/856,428, entitled "REDUCED PEAK-TO-AVERAGE TRANSMIT POWER HIGH DATA RATE IN A CDMA WIRELESS COMMUNICATION SYSTEM," filed May 14, 1997, assigned to the assignee of the present invention, and expressly incorporated by reference herein.

The data to be transmitted is generated in the form of streams of in-band (I) and quadrature (Q) samples that are provided as input to a complex pseudonoise (PN) spreader 502. Complex PN spreader 502 mixes the I and Q samples with short PN code samples generated by short PN code generator 504. The resulting PN spread sample streams are filtered by baseband finite impulse-response (FIR) filters 506 to generate the baseband complex sample streams to be upconverted and transmitted to subscriber stations (not shown). In accordance with U.S. Patent Application Serial No. 08/856,428, the signals provided to baseband FIRs 506 are spread according to the following equations:

$$X_i = I * PN_i - Q * PN_Q \quad (1)$$

$$X_Q = Q * PN_i + I * PN_Q \quad (2)$$

where I are the digital in-phase samples, Q are the digital quadrature-phase samples,  $PN_i$  is the in-phase short PN sequence,  $PN_Q$  is the quadrature phase short PN sequence, and  $X_i$  and  $X_Q$  are the signals to be modulated onto the in-phase and quadrature-phase channels respectively. The signal represented by equation (1) is filtered by FIR filter 506A, and the signal represented by equation (2) is filtered by FIR filter 506B. FIR filters 506 act to

shape the transmit waveform to fit into the allocated bandwidth and minimize intersymbol interference.

Signals output by FIR filters 506 are provided to the antenna transmission subsystems 524, each antenna transmission subsystem 524 comprising a single transmit antenna 522. Slot TDM timing generator 507 generates timing signals corresponding to the various time-division-multiplex (TDM) transmit periods within each transmit slot. Slot TDM timing generator 507 provides an output signal to beamforming control processor 508, which uses the signal to alternately transmit signals corresponding to the even and odd TDM periods on even and odd sub-sector signal beams.

It is understood by those of skill in the art that the beamforming control processor 508 may encompass a digital signal processor (DSP), an application-specific integrated circuit (ASIC), discrete gate logic, firmware, field-programmable gate arrays (FPGA), programmable logic devices (PLD), or any conventional programmable software module and a microprocessor. The software modules could reside in the processor, RAM memory, flash memory, registers, or any other form of storage medium known in the art. Alternatively, any conventional processor, controller, state machine, or other device capable of generating and adjusting the necessary amplitude and phase control signals could be substituted for the microprocessor. One skilled in the art will appreciate that this does not preclude implementing beamforming control processor 508 function inside another processor already present in the transmitter apparatus.

Based on the signal from slot TDM timing generator 507, beamforming control processor 508 provides separate phase and amplitude control signals, to each antenna transmission subsystem 524. By adjusting the phase and amplitude control signal coefficients to each antenna transmission subsystem 524, beamforming control processor 508 creates sub-sector beams and switches the base station's sub-sector transmit beams on and off according to even and odd time slots. The fixed sets of phase and amplitude coefficients form the sub-sector beams. During active time slots, the beam coefficients are used to form the focused sub-sector beam signals. During inactive time slots, the signal



coefficients are set to zero to turn off the sub-sector beam. As shown, beamforming control processor 508 provides amplitude control signal  $\alpha_i$  and phase control signal  $\phi_i$  to antenna transmission subsystem 524A, and provides amplitude control signal  $\alpha_e$  and phase control signal  $\phi_e$  to antenna transmission subsystem 524n.

In one embodiment, beamforming control processor 508 maintains a database of the beamforming parameters optimal for each sub-sector beam in the base station's coverage area. Each antenna transmission subsystem 524 includes the components necessary for upconversion, phase control, amplification, and transmission of the signal through one transmit antenna 522. The signals provided by baseband FIR 506A are mixed in mixer 512A with a mixing signal provided by phase-controlled oscillator 510A. The signals provided by baseband FIR 506B are mixed in mixer 514A with a mixing signal provided by phase-controlled oscillator 518A. As indicated, phase-controlled oscillators 510 and 518 receive amplitude and phase control signals from beamforming control processor 508, which are used to vary the phase and amplitude of their output mixing signals. The output signals of mixers 512A and 514A are added together in summer 516A and provided to amplifier 520A for transmission through transmit antenna 522A. One skilled in the art would appreciate that transmission subsystem 524N and other transmission subsystems (not shown) function similarly to transmission subsystem 524A.

Not shown for each antenna transmission subsystem 524 are digital-to-analog converters (DACs) required to convert the digital signals to analog format prior to amplification and transmission. One skilled in the art will appreciate that there are multiple places in which conversion to analog format may be done without departing from the scope of the present invention.

In one embodiment, each antenna transmission subsystem 524 includes a DAC placed between summer 516 and amplifier 520. In this embodiment, mixers 512 and 514 are digital mixers, and phase-controlled oscillators 510 and 518 generate digital oscillator signals. Each DAC serves to convert the digital output of summer 516 to an analog signal which is then amplified and transmitted by amplifier 520.

In an alternate embodiment, the input signal provided to antenna transmission subsystem 524 is already in analog format (converted to analog prior to being provided to antenna transmission subsystem 524). In this alternate embodiment, phase-controlled oscillators 510 and 518 generate analog mixing signals, mixers 512 and 514 are analog mixers, and summers 516 are analog summers.

One skilled in the art will also appreciate that amplitude control of the signals transmitted through each antenna can be implemented in different ways. In an exemplary embodiment, beamforming control processor 508 provides amplitude control signals to each individual amplifier 520 of each antenna transmission subsystem 524.

One skilled in the art will recognize that the phase-controlled oscillators 510 and 518 may be implemented in a variety of ways. In an exemplary embodiment, a phase-controlled direct digital synthesizer (DDS) may be used to generate a digital sinusoidal signal with very fine phase resolution. In another embodiment, oscillators 510 and 518 are not phase-controlled, but a phase shifter is placed between summer 516 and amplifier 520.

Though two antenna transmission subsystems 524A, 524N are shown in FIG. 5, one or more than one antenna transmission subsystems may be implemented in a beamforming base station. In a typical configuration, where one base station serves one sector divided into four sub-sectors, four antenna transmission subsystems 524 are implemented.

FIG. 6 is a block diagram of an exemplary reverse link architecture configured in accordance with one embodiment. Data is partitioned into data packets and provided to encoder 612. For each data packet, encoder 612 generates Cyclic Redundancy Check (CRC) parity bits, inserts code tail bits, and encodes the data. In one embodiment, encoder 612 encodes the packet in accordance with the encoding format disclosed in the aforementioned U.S. Patent Application Serial No. 08/743,688. Other encoding formats can also be used. The encoded packet from encoder 612 is provided to interleaver 614 which reorders the code symbols in the packet. The interleaved packet is provided to multiplier 616, which covers the data with a Walsh cover and

provides the covered data to gain element 618. Gain element 618 scales the data to maintain a constant energy-per-bit,  $E_b$ , regardless of the data rate. The scaled data from gain element 618 is provided to multipliers 650b and 650d which spread the data with PN\_Q and PN\_I sequences, respectively. The spread data from multipliers 650a and 650d are provided to filters 652a and 652d, respectively, which filter the data. The filtered signals from filters 652a and 652b are provided to summer 654a and the filtered signals from filter 652c and 652d are provided to summer 654b. Summers 654 sum the signals from the data channel with the signals from the pilot/DRC channel. The outputs of summers 654a and 654b comprise IOUT and QOUT, respectively, which are modulated with the in phase sinusoid  $\cos(w_c t)$  and the quadrature sinusoid  $\sin(w_c t)$ , respectively (as in the forward link), and summed (not shown) prior to transmission. In the exemplary embodiment, the data traffic is transmitted on both the inphase and quadrature phase of the sinusoid.

In the exemplary embodiment, the data is spread with the long PN code and the short PN codes. The long PN code scrambles the data such that the receiving base station is able to identify the transmitting subscriber station. The short PN code spreads the signal over the system bandwidth. The long PN sequence is generated by long code generator 642 and provided to multipliers 646. The short PN<sub>i</sub> and PN<sub>q</sub> sequences are generated by short code generator 644 and also provided to multipliers 646a and 646b, respectively, which multiply the two sets of sequences to form the PN\_Q and PN\_I signals, respectively. Timing/control circuit 640 provides the timing reference to the PN code generators 642, 644. Generation and use of PN sequences is known in the art and described in U.S. Patent No. 5,103,459.

The exemplary block diagram of the data channel architecture as shown in FIG. 6 is one of numerous architectures which support data encoding and modulation on the reverse link. For high-rate data transmission, an architecture similar to that of the forward link utilizing multiple orthogonal channels can also be used. Other architectures, such as the architecture for the reverse link traffic channel in the CDMA system that conforms to the IS-95 standard, are also contemplated and are within the scope of the present invention.

In the exemplary embodiment, the reverse link data channel supports four data rates, which are tabulated in Table 1. Additional data rates and/or different data rates can be supported. In the exemplary embodiment, the packet size for the reverse link is dependent on the data rate, as shown in Table 1. As described in the aforementioned U.S. Patent Application Serial No. 08/743,688, improved decoder performance can be obtained for larger packet sizes. Thus, different packet sizes than those listed in Table 1 can be utilized to improve performance. In addition, the packet size can be made a parameter, which is independent of the data rate.

Table 1 - Pilot and Power Control Modulation Parameters

Parameter	Data rates				Units
	9.6	19.2	38.4	76.8	
Frame duration	26.66	26.66	13.33	13.33	msec
Data packet length	245	491	491	1003	bits
CRC length	16	16	16	16	bits
Code tail bits	5	5	5	5	bits
Total bits/packet	256	512	512	1024	bits
Encoded packet length	1024	2048	2048	4096	symbols
Walsh symbol length	32	16	8	4	chips
Request required	no	yes	yes	yes	

As shown in Table 1, the reverse link supports a plurality of data rates.

In the exemplary embodiment, the lowest data rate of 9.6 kbps is allocated to each subscriber station upon registration with a base station. In the exemplary embodiment, subscriber stations can transmit data on the lowest-rate data channel at any time slot without having to request permission from a base station. In the exemplary embodiment, data transmissions at the higher data rates are granted by the selected base station based on a set of system parameters such as system loading, fairness, and total throughput. An

exemplary scheduling mechanism for high speed data transmission is described in detail in U.S. Patent Application No. 08/798,951, entitled "METHOD AND APPARATUS FOR FORWARD LINK RATE SCHEDULING," filed February 11, 1997, and U.S. Patent Application Serial No. 08/914,928, entitled "METHOD  
5 AND APPARATUS FOR REVERSE LINK RATE SCHEDULING," filed August 20, 1997, both are assigned to the assignee of the present invention and incorporated by reference herein.

The exemplary block diagram of the pilot/DRC channel is shown in FIG.  
6. The DRC message is provided to DRC encoder 626, which encodes the  
10 message in accordance with a predetermined coding format. Coding of the DRC message is important since the error probability of the DRC message needs to be sufficiently low because incorrect forward link data rate determination impacts the system throughput performance. In the exemplary embodiment, DRC encoder 626 is a rate (8,4) block encoder which encodes the  
15 DRC message into a code word. The encoded DRC message is provided to multiplier 628 which covers the message with the Walsh code which uniquely identifies the destination base station for which the DRC message is directed. The Walsh code is provided by Walsh generator 624. The covered DRC message is provided to multiplexer (MUX) 630, which multiplexes the message  
20 with pilot data. The DRC message and the pilot data are provided to multipliers 650a and 650c, which spread the data with the PN\_I and PN\_Q signals, respectively. Thus, the pilot and DRC message are transmitted on both the inphase and quadrature phase of the sinusoid.

In the exemplary embodiment, the DRC message is transmitted to the  
25 selected base station. This is achieved by covering the DRC message with the Walsh code that identifies the selected base station. In the exemplary embodiment, the Walsh code is 128 chips in length. The derivation of 128-chip Walsh codes is known in the art. One unique Walsh code is assigned to each base station, which is in communication with the subscriber station. Each base  
30 station decovers the signal on the DRC channel with its assigned Walsh code. The selected base station is able to decover the DRC message and transmits data to the requesting subscriber station on the forward link in response thereto.

Other base stations are able to determine that the requested data rate is not directed to them because these base stations are assigned different Walsh codes.

In the exemplary embodiment, the reverse link short PN codes for all base stations in the data communication system are the same and there is no offset in the short PN sequences to distinguish different base stations. The data communication system advantageously supports soft handoff on the reverse link. Using the same short PN codes with no offset allows multiple base stations to receive the same reverse link transmission from a subscriber station during a soft handoff. Thus the short PN codes provide spectral spreading but advantageously do not allow for identification of base stations.

In the exemplary embodiment, the DRC message carries the data rate requested by the subscriber station. In an alternate embodiment, the DRC message carries an indication of the forward link quality (e.g., the C/I information as measured by the subscriber station). During acquisition, a subscriber station can simultaneously receive the forward link pilot signals from one or more sub-sector beams and perform the C/I measurement on each received pilot signal. During acquisition, a subscriber station searches and makes measurements for pilots on both even and odd numbered time slots. The subscriber station selects the beam with the highest C/I. The subscriber station subsequently sends DRC messages on the reverse link during the opposite reverse link time slot from the forward link time slot in which it receives data. The subscriber station switches from even to odd numbered time slots (or vice versa) if it crosses in to a new sub-sector. After acquisition, the C/I is estimated by the subscriber station as described in U.S. Patent No. 5,504,773, except that the C/I is measured only on the alternating even or odd time slot when data is received. The subscriber station then selects the best sub-sector beam based on a set of parameters, which can comprise present and previous C/I measurements. The rate control information is formatted into the DRC message, which can be conveyed to the base station in one of several embodiments.

In a first embodiment, the subscriber station transmits a DRC message based on the requested data rate. The requested data rate is the highest

supported data rate that yields satisfactory performance at the C/I measured by the subscriber station. From the C/I measurement, the subscriber station first calculates the maximum data rate, which yields satisfactory performance. The maximum data rate is then quantized to one of the supported data rates and designated as the requested data rate. The data rate index corresponding to the requested data rate is transmitted to the selected base station. An exemplary set of supported data rates and the corresponding data rate indices are shown in Table 1.

In another embodiment, wherein the subscriber station transmits an indication of the forward link quality to the selected base station, the subscriber station transmits a C/I index that represents the quantized value of the C/I measurement. The C/I measurement can be mapped to a table and associated with a C/I index. Using more bits to represent the C/I index allows a finer quantization of the C/I measurement. Also, the mapping can be linear or predistorted. For a linear mapping, each increment in the C/I index represents a corresponding increase in the C/I measurement. For example, each step in the C/I index can represent a 2.0 dB increase in the C/I measurement. For a predistorted mapping, each increment in the C/I index can represent a different increase in the C/I measurement. As an example, a predistorted mapping can be used to quantize the C/I measurement to match the cumulative distribution function (CDF) curve of the C/I distribution. Other embodiments to convey the rate control information from a subscriber station to a base station can be contemplated and are within the scope of the present invention. Furthermore, the use of different numbers of bits to represent the rate control information is also within the scope of the present invention.

In an exemplary embodiment, the C/I measurement can be performed on the forward link pilot signal in a manner similar to that used in a CDMA system. A method and apparatus for performing the C/I measurement is disclosed in U.S. Patent Application Serial No. 08/722,763, entitled "METHOD AND APPARATUS FOR MEASURING LINK QUALITY IN A SPREAD SPECTRUM COMMUNICATION SYSTEM," filed September 27, 1996, assigned to the assignee of the present invention, and expressly incorporated by

reference herein. The C/I measurement on the pilot signal can be obtained by despread the received signal with the short PN codes.

In an alternate embodiment, the C/I measurement can be performed on the forward link traffic channel. The traffic channel signal is first despread with the long PN code and the short PN codes and deconvolved with the Walsh code. The C/I measurement on the signals on the data channels can be more accurate because a larger percentage of the transmitted power is allocated for data transmission. Other methods to measure the C/I of the received forward link signal by a subscriber station are also contemplated and are within the scope of the present invention.

In the exemplary embodiment, the DRC message is transmitted in the first half of the time slot. For an exemplary time slot of 1.667 msec, the DRC message comprises the first 1024 chips or 0.83 msec of the time slot. The remaining 1024 chips of time are used by the base station to demodulate and decode the DRC message. Transmission of the DRC message in the earlier portion of the time slot allows the base station to decode the DRC message within the same time slot and possibly transmit data at the requested data rate in the immediately successive time slot. The short processing delay allows the communication system to quickly adapt to changes in the operating environment.

In the alternate embodiment, the requested data rate is conveyed to the base station by the use of an absolute reference and a relative reference. In this embodiment, the absolute reference comprising the requested data rate is transmitted periodically. The absolute reference allows the base station to determine the exact data rate requested by a subscriber station. For each time slot between transmissions of the absolute reference, a subscriber station transmits a relative reference to the base station that indicates whether the requested data rate for the upcoming time slot is higher, lower, or the same as the requested data rate for the previous time slot. Periodically, the subscriber station transmits an absolute reference. Periodic transmission of the data rate index allows the requested data rate to be set to a known state and ensures that erroneous receptions of relative references do not accumulate. The use of



absolute references and relative references can reduce the transmission rate of the DRC messages to the base station. Other protocols to transmit the requested data rate are also contemplated and are within the scope of the present invention.

- 5 As users place quality of service demands on a communication system, it is further desirable to understand the corresponding C/I constraints for a particular bit rate and bit-error rate that result in constraints on the transmit power for each user in the system. Note that a data signal to one user is noise or interference to another user. It is desirable not only to optimize the use of all time slots, but also to increase the C/I of each individual user. When all sectors receive transmitted signals at full power, the C/I for sector "i" is given as:

$$\frac{C}{I} \propto \frac{P_i}{\sum_j P_j} \quad (3)$$

- where  $i \neq j$ . The numerator is the transmit power to sector i, and the denominator is the sum of transmit powers to users in other sectors and/or cells. In general, for a system having transmit power level  $P_{full}$  to "Z" users, the above relationship may be simplified to:

$$\frac{C}{I} \propto \frac{P_{full}}{(Z-1)P_{full}} \quad (4)$$

- showing an effective power struggle, wherein increasing the number of users in a system lowers the C/I, and therefore the quality of service, to individual users, and potentially to all users. It is therefore desirable to reduce the denominator by transmitting to at least some of the (Z-1) users at a reduced power level. For example, in one exemplary embodiment, the base station of a cell transmits to each sector according to a time-division power level assignment. The C/I for sector i in the exemplary embodiment is calculated as:

- 25 
$$\frac{C}{I} \propto \frac{P_i}{\sum_j P_j + \sum_k P_k} \quad (5)$$

where base station transmissions to the sector "j" are at full power,  $P_{full}$ , and transmissions to the sector "k" are at reduced power,  $P_{reduced}$ . All mobile units within a sector receive signals transmitted at the corresponding power level.

Effectively, any number of power levels may be included in this relationship. The introduction of the reduced power terms advantageously results in a corresponding increase in C/I for mobile units in sector  $i$ , and thus improved quality of service for these units, while allowing mobile units in other sectors to continue to receive information. The reduced power in the other sectors reduces the interference experienced by sector  $i$ . In the exemplary embodiment, transmitted signals are divided into a predetermined number of time slots that repeat cyclically. Each sector receives transmitted signals at full power for at least one time slot per cycle. Alternate embodiments may adjust the signal power according to capacity and usage. For example, while there is no communication activity in one sector, no high-power signal is transmitted to that sector, but rather the time slots for high power are allocated to other sectors having communication traffic. In such a system, the time-division power assignments are adaptive, based on real-time traffic.

According to an exemplary embodiment, a single frequency is employed within multiple neighboring sectors. The transmitted signal is generated corresponding to a predetermined number of time slots per cycle, wherein the number of time slots is equal to the number of sectors. During a given time slot, each sector is assigned a power level, wherein the power assignment indicates the power level of the carrier signal transmitted to that sector. In the exemplary embodiment, the number of power levels is equal to the number of time slots per cycle. During a next time slot the power assignments change. The time-division power assignments are used to reduce the interference between transmitted signals, as only one sector receives a high-power signal. Alternate embodiments may implement a number of time slots not equal to the number of sectors, wherein the number of time slots reduces the interference for at least one transmitted signal. For example, the number of time slots may be equal to a number of sub-sectors within the sectors. Alternatively, the number of time slots may be greater than the number of sectors and/or sub-sectors to allow for power control over multiple time slots, such as where the assignment pattern results in a predetermined average power value, or some other algorithm for controlling power to reduce interference between sectors and/or subsectors.

FIG. 7 illustrates an exemplary embodiment wherein a single cell has three (3) sectors, labeled "A," "B," and "C." A single base station (not shown) transmits separate signals into each sector, A, B, C. Each of the three (3) transmissions from the base station is controlled according to three (3) time slots, labeled "1," "2," and "3." The time slots 1, 2, 3 cycle, repeating sequentially. As base station transmissions are provided according to the time slot scheme, timing in all three (3) sectors is synchronized. Therefore, time slot 1 for sector A is consistent with time slot 1 in sectors B and C.

In FIG. 7, full power is indicated by  $P_{\text{pr}}$  and the lower power levels by  $P_{\text{ri}}$  and  $P_{\text{rz}}$ , respectively. By transmitting to one sector at high power and at lower power levels to neighboring sectors, the interference within the high power sector is reduced. Alternate embodiments may implement one reduced power level to multiple sectors.

According to the exemplary embodiment, each sector receives a transmission signal during each time slot. Alternate embodiments may include inactive time slots, and/or may include fewer power levels, wherein a single power level is assigned to multiple sectors. In the exemplary embodiment, the base station determines a time division of transmitted signals. All signals from the base station are generated according to this timing. The base station adjusts the power of each transmitted signal according to a rotational scheme consistent with this timing. For example, as illustrated in FIG. 7, during the first time slot 1, sector A is assigned a high power level, which may be full power or an adjusted power level, while sector B is assigned a low power level and sector C a mid-range power level. The power level assignment is valid throughout the time slot. During the next sequential time slot 2, the power assignments change, and sector A is assigned a mid-range power level, sector B a high power level, and sector C a low power level. The power assignment to the sectors rotates through the power levels cyclically. Note that in the exemplary embodiment, the order of the power assignments to a given sector does not change. For example, the power assignment order for sector A is: high, mid, low. The power assignment for sector B is: low, high, mid. The power assignment for sector C is: mid, low, high. During a given time slot, only one

sector has a high power level assignment, which reduces interference to that sector and increases the C/I for the given time slot. The exemplary embodiment thus advantageously reduces inter-symbol interference (ISI) by assigning each sector one time slot of high C/I.

5           According to the exemplary embodiment, during each time slot, each sector is assigned a unique power level that is maintained sequentially. In alternate embodiments, the assignments and the order of the power assignments may be adjusted depending on the communication system. Alternate embodiments may alter the order of the power assignments to a  
10       sector, wherein at least one sector receives a transmitted signal at a high power level. For example, the power level assignment to a sector may be adjusted with respect to other sectors according to their interference conditions. This allows the base station to transmit according to a dynamic power assignment scheme.

15           Each of the mobile units within a sector provides DRC information to the transmitter during each time slot. For example, in the case of a system having a time division with three (3) time slots per cycle, and three (3) power levels, each mobile unit provides at least three (3) DRCs to the base station, one (1) for each power level, *i.e.*, time slot. The DRC information is based on the C/I estimate  
20       for a given time slot, the time slot having an associated power level. A digital filtering process, described hereinbelow, iteratively provides the C/I estimates according to an adaptive method, wherein the calculation includes feedback from previous cycles. During a given time slot, the digital filtering performs a series of iterations to filter the symbols transmitted during the time slot. A  
25       digital filter operates on a sample set including a predetermined number of symbols. For each iteration, a C/I estimate is generated. Each C/I estimate includes calculations from earlier time slots of the same power level, *i.e.*, time slot 1 calculations include historical information from previous time slot 1 calculations, etc. Note that alternate embodiments may not maintain the power  
30       assignment order and therefore the historical information corresponding to each power level may be represented by different time slots. In the exemplary embodiment, with respect to sector A, the C/I estimate for time slot 1 is

averaged with at least one previous time slot 1 calculation. Any number of previous time slots may be used to determine an estimate, wherein increasing the amount of historical information tends to increase the accuracy of the estimate. The amount of historical information included in each C/I iteration

5 may be limited by the number of power levels and/or time slots, as well as the ability of the system to store the previous information. The previous C/I results may be stored in a buffer or other memory storage device. Similarly, C/I estimate information may be stored in matrix form, where constituent vectors correspond to specific power levels assigned to specific sectors. In the

10 exemplary embodiment, the matrix would include C/I information for three (3) sectors and (3) power levels each, or at least nine (9) vectors.

A mobile unit transmits DRC information back to the base station, corresponding to each assigned power level. Thus, during each active time slot, the mobile unit sends DRC information based on the C/I averaged estimate.

15 From the DRCs, the base station determines the data rate for transmission of data to the mobile unit for a given time slot. While the exemplary embodiment has an equal number of power levels and time slots, this is not strictly the case. It may clarify the discussion to realize that the DRC is associated with a specific power level. As discussed hereinabove, the DRC may be provided as a range of

20 values within which the base station may transmit, or may be provided as a specific rate. Similarly, the mobile unit may provide the C/I estimate directly and allow the base station to determine the appropriate DRC.

In one embodiment, the mobile station calculates the C/I, performs the averaging, and selects the DRC from a look-up table. In an alternate

25 embodiment, the mobile station sends the C/I estimate to the base station, which then determines the appropriate data rate. On each iteration, the C/I estimate is calculated by equalizing, or filtering, the received signal. The goal of equalization is to reduce any ISI introduced by the transmission channel. Equalization thus corrects for channel-induced distortions.

30 FIG. 8 illustrates a receiver circuit 700 operating within one of the sectors of a cell in a wireless communication system. The receiver circuit 700 estimates the C/I and provides a corresponding DRC message back to a base station (not

shown). Receiver 700 includes an antenna 702, an RF/IF processor 704, a bandpass filter 706, and a sampler 708, coupled to an equalizer 710. In the exemplary embodiment, the equalizer 710 is a Finite Impulse Response (FIR) filter for averaging a discrete sequence of input values. An exemplary equalizer  
5 is described in U.S. Application for Patent entitled "METHOD AND APPARATUS FOR PROCESSING A MODULATED SIGNAL USING AN EQUALIZER AND RAKE RECEIVER," filed July 24, 2000, assigned to the assignee hereof and expressly incorporated by reference herein.

With reference again to FIG. 8, while the equalizer 710 is illustrated as a  
10 FIR filter, it may be implemented using an Infinite Impulse Response (IIR) filter or some other filter architecture. The equalizer 710 outputs the average of a sample set of sequentially received input symbols. The sample set is taken from a predetermined number of successive symbols. The number of symbols in a sample set is given as  $(2L+1)$ . The equalizer 710 includes a series of delay  
15 elements 712 through 716. Between delay elements are taps to provide individual sample values to multipliers 718 through 724. The outputs from the taps are amplified and summed with summer 728. Each multiplier 718-724 receives a filter coefficient value for scaling, or amplifying, an individual sample value. The number of taps is equal to the number of symbols per  
20 sample set, *i.e.*,  $(2L + 1)$ . The FIR architecture effectively performs a convolution of the filter coefficient values and the sample set.

The number of delay elements is one less than the number of taps, as there is a tap for the most recent received symbol prior to delay. Each delay element provides a delay equal to the symbol duration, given as  $T/M$ , where  $T$   
25 is the symbol period, and  $M$  is the fractional component of the sample. Typically,  $M=1$  and sampling is performed once during each symbol duration,  $T$ . Alternate embodiments may set  $M=2$  where two (2) samples are taken within each symbol duration,  $T$ . In the exemplary embodiment, there are three (3) time slots corresponding to the number of sectors.

30 As illustrated in FIG. 7, each time slot is further broken into smaller time intervals that are referred to as symbols. Within one time slot, there are  $N$  symbols, each symbol having duration time  $T$ . The equalizer 710 operates on a

subset of the N symbols within one time slot. Note that a time slot may be referred to as a frame. During a time slot “i,” the equalizer 710 receives successive samples, given as  $x_i(n)$  for  $n = 1, 2, \dots, (2L + 1)$ , where “n” is the time index integer sequence for a sample set within one time slot. In the exemplary embodiment, the sample set increments through the delay elements 712 through 716 of equalizer 710 one symbol at a time.

The output of each delay element 712 through 716 is amplified by a corresponding tap coefficient,  $C_{i, (-L)}, C_{i, (-L+1)}, \dots, C_{i, (L)}$ . The first coefficient index indicates the time slot, *i.e.*, power level, of the transmitted signal. The second coefficient index indicates the tap location within the delay elements 712 through 716, and is given by the sequence  $\{-L, (-L+1), \dots, 0, \dots, (L-1), L\}$ . The amplified results are summed and provide an estimate,  $\hat{y}_i(n)$ , of the discrete series of received symbols,  $x_i(n)$ .

The equalizer 710 is an adaptive equalizer, wherein coefficients are continually adjusted from the transmitted data. The coefficient for iteration n is a function of the value on iteration (n-1), *i.e.*,  $c_i(n) = f(c_i(n-1))$ . Alternate embodiments may implement a preset equalization method using a training sequence to adjust the coefficients. The preset method has the drawback of requiring training sessions on initiation, and may not be effective on time-varying degradation in the channel. Alternately, a combination of a preset initiation followed by adaptive iterations may be used for equalization.

In the adaptive equalizer 710, the C/I estimate from each iteration provides feedback for adjusting the tap coefficients for the next iteration, as well as providing information to determine an appropriate data rate for the receiver and its associated DRC. For a given iteration, the equalizer 710 forms the estimate given as:

$$y(n) = \sum_{\ell=-L}^L C_{\ell}(n) \cdot x(n - \ell \frac{T}{M}) \quad (6)$$

where  $\ell$  is the coefficient index, and the estimate is evaluated over all taps. Alternate embodiments may use a portion of the taps, or may alter the number of taps dynamically to evaluate an estimate.

In the exemplary embodiment, a set of coefficients is generated corresponding to each time slot. As the calculations are performed for each time slot, the above estimate,  $y(n)$ , is generated for each time slot,  $i$ . The general equation is given as:

$$\hat{y}_i(n) = \sum_{\ell=-L}^L C_{i,\ell}(n) \cdot x_i(n - \ell \frac{T}{M}) \quad (7)$$

where the index,  $i$ , represents the time slot. In the exemplary embodiment, there are three (3) time slots,  $i = \{1, 2, 3\}$ . Alternate embodiments may implement any number of time slots as desired for a given communication system and a given configuration.

The output estimate,  $\hat{y}_i(n)$ , is provided to other modules within receiver 700, and is provided to coefficient adjustment unit 730. The coefficient adjustment unit 730 determines the current time slot and adjusts the corresponding set of coefficients. The coefficients are stored in a memory storage device (not shown) and retrieved as needed for calculations during each time slot. For example, during the first time slot, the calculations for sector A reflect the full power signal broadcast during that time slot. The C/I is expected to be larger than during the second or third time slots for sector A, when the power is reduced. Coefficient adjustment unit 730 may include a look-up table correlating C/I estimates with associated DCRs. Note that a mobile unit sends a DRC for each time slot based on the C/I, which is averaged over corresponding time slots.

The equalization process for each time slot is continuous, proceeding from where the process ended during the previous corresponding time slot, *i.e.*, power level. Consider the example where a time slot is made up of  $N$  symbols, and the equalization sample set includes  $(2L+1)$  symbols. The iteration index,  $n$ , for the first occurrence of time slot 1 is given as  $n = \{1, 2, 3, \dots, (N - 2L)\}$ . At the end of time slot 1, the coefficient values are stored for the next occurrence of time slot 1. Note that an algorithm may be used to store the information in another form, such as a checksum or other result from which the necessary coefficient(s) and/or C/I estimate information are available. On the second occurrence of time slot 1, the stored values are used to begin iterations of the



equalizer 710. Each time slot is treated similarly, and therefore the stored information may be retrieved during the next corresponding time slot. Alternate embodiments may use information from more than one power level to generate C/I estimates for a sector.

- 5 A base station using a time-division power assignment for beam switching to transmit to sector divisions reduces interference to subscriber stations in neighboring sectors by reducing the power to all but one sector and thus increasing that sector's C/I.

- In accordance with one embodiment, the equalizer 710 is realized as a  
10 finite transversal filter used for adaptive equalization of a channel. Coefficient adjustment adapts the coefficients to minimize noise and ISI at the output. The output is typically provided to a slicer or decision mechanism, and therefore the equalization step is directly related to the quality of the data. Coefficient adjustment involves a comparison of the estimated C/I to an actual value. The  
15 exemplary embodiment utilizes a Least Mean-Square (LMS), or Minimum Mean-Square Error (MSE), algorithm. However, alternate embodiments may use any algorithm that produces an estimate based on historical information. Such algorithms include, *e.g.*, Mean Square Error Gradient (MSEG), Recursive Least Squares (RLS) and Direct Matrix Inversion (DMI). Typically, the estimate  
20  $y_i(n)$  is output to a slicer (not shown) that makes a decision as to the symbol value. An error term is calculated by comparing the equalized value, *i.e.*, the output of summing node 728, with the sliced value. The result is an estimated error.

Using the LMS algorithm, a vector of filter coefficients is defined as:

25 
$$c_i = [c_{i,-L}, \dots, c_{i,L}] \quad (8)$$

for each sector  $i$ . The adjusted coefficient value is given as:

$$c_i(n) = c_i(n-1) + \Delta x_i \cdot (\hat{y}_i(n) - y_{sliced_i}(n)) \quad (9)$$

- In this way, each coefficient is adjusted based on the estimated error. During reduced-power transmissions, the base station may not transmit some signals,  
30 or may transmit overhead signals at reduced power. Such signals include, but are not limited to, the pilot signal, and the Reverse Power Control (RPC) signal.

If power control is inactive during reduced power time slots, the power control frequency is reduced by a factor " $S$ " equal to the number of time slots, where  $i = \{1, 2, \dots, S\}$ . The reduced power control frequency results in a coarse power control for the mobile unit. Control signals are sent out less frequently and, therefore, the corresponding control adjustments are not made as often. In other words, the mobile unit adjusts its power less quickly than for higher frequency power control. Coarse control not only introduces excess power into the communication system, *i.e.*, interference, but causes the mobile unit to consume more power, impacting the battery life between recharges. Particularly in a CDMA system, it is desirable to provide power control often during time each slot for fine power control adjustment. The exemplary embodiment transmits multiple power signals during each time slot, wherein, even during a reduced power time slot, the RPC signal is still transmitted at full power. The pilot signal may be transmitted at the reduced power level of the time slot. The mobile unit weighs the C/I estimates during reduced power time slots, considering the reduced pilot strength received. The base station provides each mobile unit with information regarding the power assignment per time slot. In this way, the mobile unit anticipates the reduced-power and responds accordingly. Note that as the mobile unit receives signals from multiple base stations, the mobile unit considers the power assignment of each base station.

While the exemplary embodiment transmits signals during all time slots, alternate embodiments may use a portion of the time slots, where at least one time slot is inactive to all but the sector receiving full signal power. Similarly, the power assignment may be adaptive, wherein the base station alters the power assignments based on feedback from the mobile units, including but not limited to DRC information and requests for retransmission of data for error correction. The base station may alter the reduced power levels to accommodate mobile units within the system. For example, the reduced power level may increase if mobile users experience an excessive amount of data errors. Similarly, the power levels may be adjusted according to a range of values for optimum operation, wherein adjustment outside of the range results

in elimination of that power level, *i.e.*, that power level is replaced with inactivity. In one embodiment, signal power is provided from 3dB to 6dB. Alternate embodiments may implement any power levels, where a high power level is used in one sector while at least one reduced level is used in another  
5 sector.

The presently disclosed embodiments provide a method of utilizing sub-sector beam switching techniques to decrease the average interference caused by transmissions of a base station to subscriber stations within a cell, and in neighboring cells.

10 Thus, a novel and improved method and apparatus for improved forward link data transmission has been described. Those of skill in the art would understand that the data, instructions, commands, information, signals, bits, symbols, and chips that may be referenced throughout the above description are advantageously represented by voltages, currents,  
15 electromagnetic waves, magnetic fields or particles, optical fields or particles, or any combination thereof. Those of skill would further appreciate that the various illustrative logical blocks, modules, circuits, and algorithm steps described in connection with the embodiments disclosed herein may be implemented as electronic hardware, computer software, or combinations of  
20 both. The various illustrative components, blocks, modules, circuits, and steps have been described generally in terms of their functionality. Whether the functionality is implemented as hardware or software depends upon the particular application and design constraints imposed on the overall system. Skilled artisans recognize the interchangeability of hardware and software  
25 under these circumstances, and how best to implement the described functionality for each particular application. As examples, the various illustrative logical blocks, modules, circuits, and algorithm steps described in connection with the embodiments disclosed herein may be implemented or performed with a digital signal processor (DSP), an application specific  
30 integrated circuit (ASIC), a field programmable gate array (FPGA) or other programmable logic device, discrete gate or transistor logic, discrete hardware components such as, e.g., registers and FIFO, a processor executing a set of

firmware instructions, any conventional programmable software module and a processor, or any combination thereof designed to perform the functions described herein. The processor may advantageously be a microprocessor, but in the alternative, the processor may be any conventional processor, controller, 5 microcontroller, or state machine. The software modules could reside in RAM memory, flash memory, ROM memory, EPROM memory, EEPROM memory, registers, hard disk, a removable disk, a CD-ROM, or any other form of storage medium known in the art. The processor may reside in an ASIC (not shown). The ASIC may reside in a telephone (not shown). In the alternative, the 10 processor may reside in a telephone. The processor may be implemented as a combination of a DSP and a microprocessor, or as two microprocessors in conjunction with a DSP core, etc.

The previous description of the preferred embodiments is provided to enable any person skilled in the art to make or use the present invention. The 15 various modifications to these embodiments will be readily apparent to those skilled in the art, and the generic principles defined herein may be applied to other embodiments without the use of the inventive faculty. Thus, the present invention is not intended to be limited to the embodiments shown herein but is to be accorded the widest scope consistent with the principles and novel 20 features disclosed herein.

## CLAIMS

2

I (WE) CLAIM:

- 4 1. A method for communication in a communication system, the  
communication system having a first cell, the first cell having a first sector and a  
6 second sector, the method comprising:  
transmitting signals at a first power level to the first sector during a first  
8 time slot; and  
transmitting signals at a second power level to the second sector during  
10 the first time slot.
2. The method of claim 1, wherein the second power level is less than the  
2 first power level.
3. The method of claim 1, further comprising:  
2 transmitting signals at the second power level to the first sector during a  
second time slot; and  
4 transmitting signals at the first power level to the second sector during  
the second time slot.
4. The method of claim 3, further comprising:  
2 transmitting a reverse power control signal to the first sector at the first  
power level during the second time slot.
5. A method for communication in a communication system, the  
2 communication system having a first cell, the first cell having a first sector and a  
second sector, the method comprising:  
4 determining a time-division power assignment for the first sector and the  
second sector; and  
6 generating signals to the first sector and the second sector according to  
the power assignment.

6. The method of claim 5, wherein the cellular communication system is a  
2 Code Division Multiple Access (CDMA) system.
7. The method of claim 6, further comprising:  
2 adjusting reverse power control signals according to the power  
assignment.
8. The method of claim 6, further comprising:  
2 adjusting a pilot signal according to the power assignment.
9. A mobile unit, comprising:  
2 an antenna; and  
an equalizer coupled to the antenna, the equalizer comprising:  
4 a plurality of taps, each having first and second associated  
coefficients, the first associated coefficient corresponding  
6 to a first time slot, the second associated coefficient  
corresponding to a second time slot, the plurality of taps  
8 being operative to scale a first set of input symbols during  
the first time slot by the first associated coefficients, the  
10 plurality of taps being further operative to scale a second  
set of input symbols during the second time slot by the  
12 second associated coefficients, the plurality of taps being  
further operative to generate tap outputs;  
14 a summing node coupled to the plurality of taps, the summing  
node being operative to sum the tap outputs; and  
16 a memory storage unit adapted to store coefficient adjustment  
information, wherein the associated coefficients are  
18 adjusted according to the coefficient adjustment  
information.
10. The mobile unit of claim 9, wherein the summing node is further  
2 operative to generate a C/I estimate for each time slot.

11. The mobile unit of claim 10, further comprising:  
 2 a decision node operative to generate a data rate decision for each  
 time slot based on the C/I estimate.
12. The mobile unit of claim 11, wherein the decision node comprises a look-  
 2 up table correlating C/I estimates and data rates.
13. An equalizer, comprising:  
 2 a plurality of taps, the taps being operative to scale input symbols with a  
 first set of associated coefficients during a first time slot and with  
 4 a second set of associated coefficients during a second time slot;  
 and  
 6 a coefficient adjustment node coupled to the plurality of taps, the  
 coefficient adjustment node being operative to apply the first set  
 8 of associated coefficients during the first time slot and to apply the  
 second set of associated coefficients during the second time slot.
14. The equalizer of claim 13, wherein the equalizer is an adaptive equalizer.
15. The equalizer of claim 14, wherein the equalizer is operative to generate  
 2 a C/I estimate, the equalizer having  $(2L+1)$  coefficients "C," the C/I estimate  
 for a time slot "i" being given as:  
 4 
$$y_i(n) = \sum_{\ell=-L}^L C_{i,\ell}(n) \cdot x_i(n - \ell T),$$
  
 wherein "n" is a sample set index, each sample set comprising a plurality of  
 6 input symbols, wherein "x" is an input symbol within a sample set, and  
 wherein "T" is the period of the input symbol, x.
16. The equalizer of claim 15, further comprising:  
 2 a data rate decision node operative to receive the C/I estimate and  
 determine a corresponding data rate.

17. A mobile unit, comprising:
- 2 an equalizer adapted to calculate a first C/I estimate for a first  
transmitted signal power and a second C/I estimate for a second  
4 transmitted signal power; and  
a data rate decision node operative to receive C/I estimates from the  
6 equalizer and generate a first data rate decision for the first C/I  
estimate and a second data rate decision for the second C/I  
8 estimate.
18. The mobile unit as in claim 17, wherein the first transmitted signal power  
2 is received during a first time slot, and the second transmitted signal power  
during a second time slot.



## ABSTRACT

In a CDMA data communication system capable of variable rate data  
5 transmission, a time-division power assignment cyclically reduces the carrier  
power level to at least one sector to reduce interference in neighboring sectors.  
The base station determines a time-division power assignment for each sector  
and generates signals according to the power assignment. The mobile unit  
generates filter coefficients corresponding to each power level. The mobile unit  
10 estimates Carrier Signal-to-Interference ( $C/I$ ) to determine a data rate for each  
power level. Previous iterations of the equalizer are stored and used to refine  
future estimates.

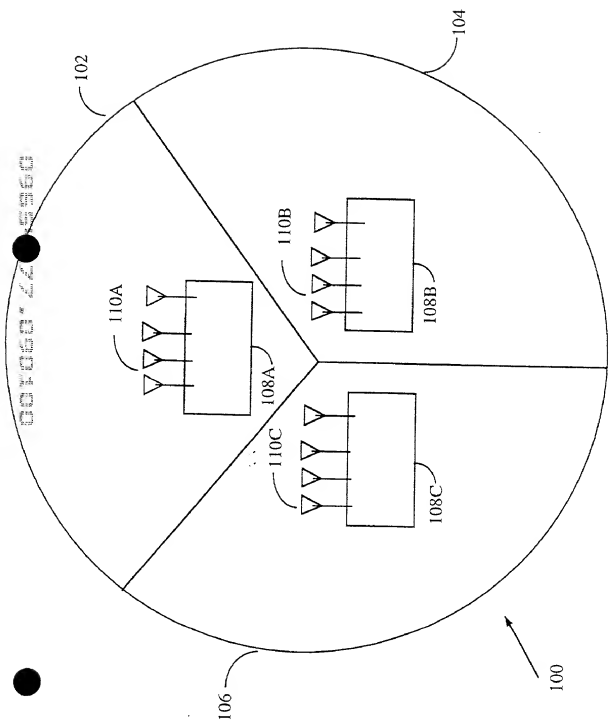


FIG. 1





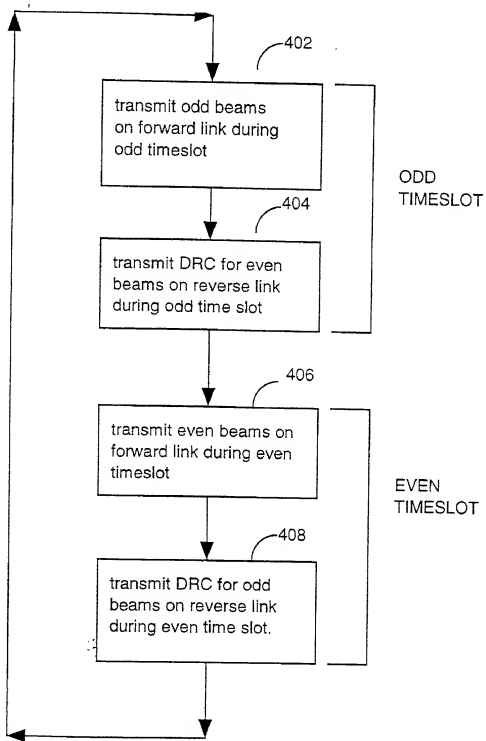
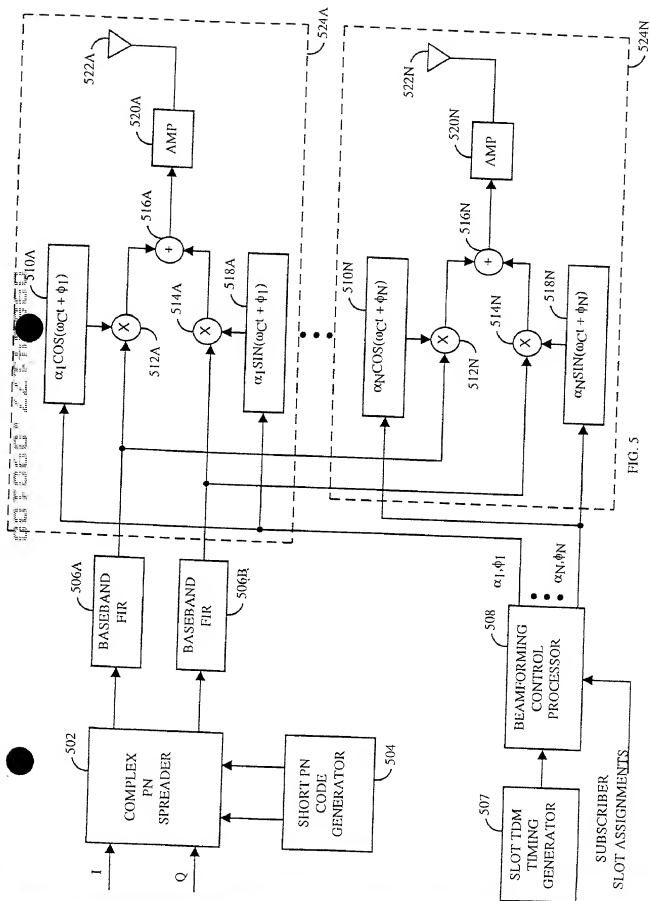


FIG. 4



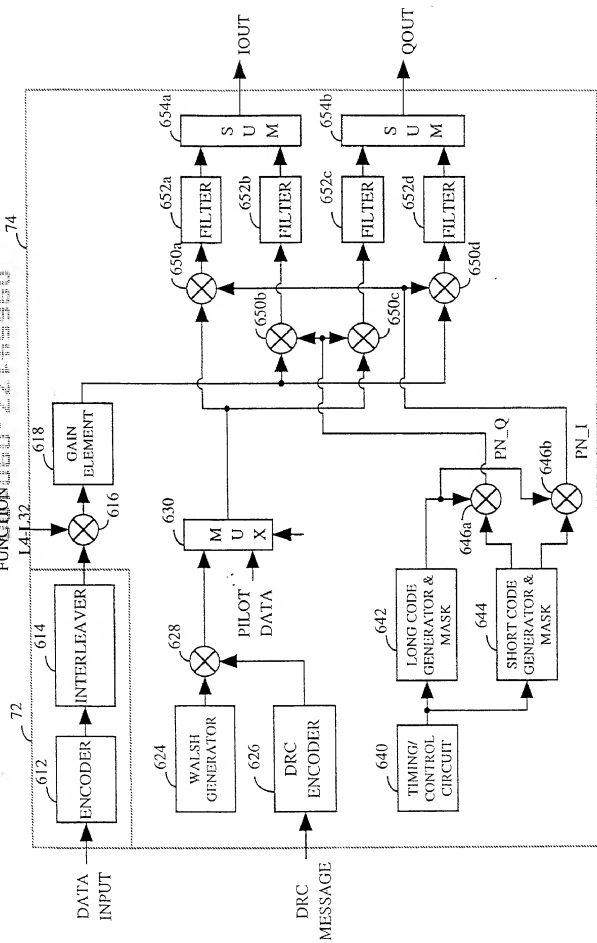


FIG. 6

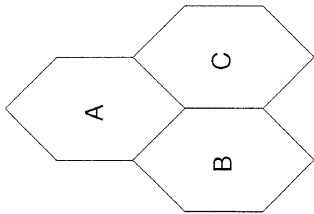


FIG. 7



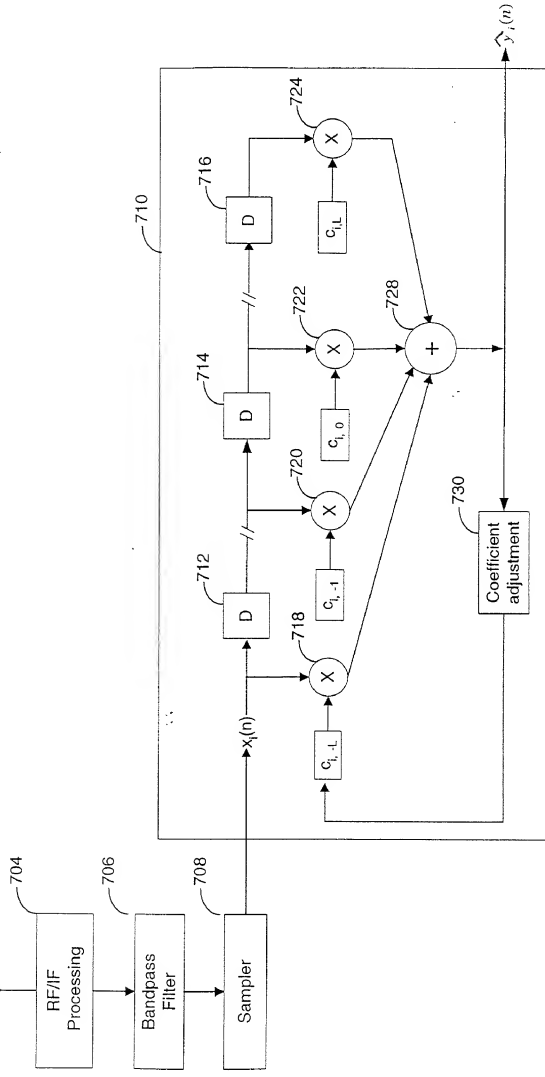


FIG. 8

# COMBINED DECLARATION/POWER OF ATTORNEY

ATTORNEY DOCKET NO.: PA000376

AS BELOW NAMED INVENTOR, I HEREBY DECLARE THAT: This Declaration is of the following type:

☒ Original ☐ Supplemental ☐ Continuation-In-Part ☐ Divisional  
☐ Continuation ☐ National Stage of PCT

My residence, post office address and citizenship are as stated below next to my name: I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **METHOD AND APPARATUS FOR TIME-DIVISION POWER ASSIGNMENTS IN A WIRELESS COMMUNICATION SYSTEM**, the specification of which:

☒ is attached hereto.  
☐ was filed on \_\_\_\_\_ as Serial No. \_\_\_\_\_  
☐ was described and claimed in PCT International Application No. \_\_\_\_\_ filed on \_\_\_\_\_  
 and as amended under PCT Article 19 on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37CFR § 1.56(a).

I hereby claim foreign priority benefits under 35 USC § 119 of any foreign applications for a patent or inventor's certificate or of any PCT International applications designating at least one country other than the United States listed below and have also identified below any foreign applications for a patent or inventor's certificate or any PCT International applications designating at least one country other than the United States filed by me on the same subject matter having a filing date before that of the applications of which priority is claimed.


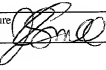
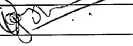
			Priority Claimed	
(Country)	(Application No.)	(Day/Month/Year/Filed)	(Yes)	(No)

I hereby claim the benefit under 35 USC § 120 of the United States application(s) listed below, and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 USC § 112, I acknowledge the duty to disclose material information as defined in 37 CFR 1.56(a) which occurred between the filing date of the prior application and the national or PCT International filing date of this application:

(Serial No.)	(Filing Date)	(Status)
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I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith: Russell B. Miller, Reg. No. 31,122, Gregory D. Ogrod, Reg. No. 30,880, Sean English, Reg. 37,319, Roger W. Martin Reg. No. 39, 291, Thomas R. Rouse Reg. No. 40,793, Kevin J. Clark, Reg. No. 42,421, Thomas Streeter Reg. No. 30,007, Kent D. Baker Reg. No. 38,882, Thomas M. Thibault Reg. No. 42,181, Charles D. Brown Reg. No. 28,285, Kyong H. Macek Reg. No. 42, 977, Byron Yafuso Reg. No. 45,244, Pavel Kalousek Reg. No.44,178, Christopher O. Edwards Reg. No. 36,127, Raymond Hom Reg. No. 44,773 and Bruce W. Greenhaus Reg. No. 37,339, Philip R. Wadsworth, Reg. No. 29,219; Sayed Hossain Beladi, Reg. No. 42,311, Michael D. Hartogs, Reg. No. 36,547; Albert Harnois, Reg. No. 46, 123, Sandip Minhas, Reg. No. 44,945, Sandra L. Godsey, Reg. No. 42,589. Please direct all telephone calls to Philip R. Wadsworth at (858) 651-4404 and address all correspondence to: Jean Lupien, QUALCOMM Incorporated, 5775 Morehouse Drive, San Diego, California 92121-1714.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 USC § and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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